



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Davin C. Dillon et al.  
Application No. : 10/010,742  
Filed : November 30, 2001  
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND  
DIAGNOSIS OF BREAST CANCER

Docket No. : 210121.491C7

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

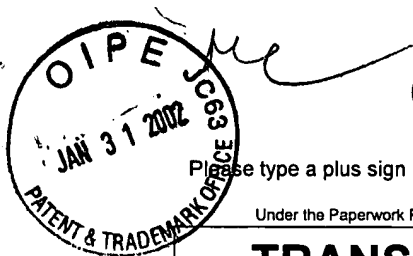
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A handwritten signature in black ink, appearing to read 'Jeffrey Hundley', is written over a horizontal line.

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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|   |                      |                   |
|---|----------------------|-------------------|
| <b>TRANSMITTAL FORM</b><br><br>(To be used for all correspondence after initial filing) | Application Number   | 10/010,742        |
|   | Filing Date          | November 30, 2001 |
|   | First Named Inventor | Davin C. Dillon   |
|   | Group Art Unit       |                   |
|   | Examiner Name        |                   |
|   | Attorney Docket No.  | 210121.491C7      |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Assignment Papers (for an Application)                          | <input type="checkbox"/> CD(s), Number of CD(s) _____  |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to Group                                      |
| <input type="checkbox"/> Amendment/Response  | <input type="checkbox"/> Request for Corrected Filing Receipt                            | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                  |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)           |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Petition to Convert to a Provisional Application                | <input type="checkbox"/> Status Letter   |
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| <input checked="" type="checkbox"/> Cited References (14)                                      | <input type="checkbox"/> Statement under 37 CFR 3.73(b)                                  |  |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application                      | <input type="checkbox"/> Request for Refund  |  |
| Remarks  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                               |                                      |
|--|-------------------------------|--------------------------------------|
| Individual Name                            | Jeffrey Hundley, Ph.D. 42,676 | <br>00500<br>PATENT TRADEMARK OFFICE |
| Signature                                  |                               |                                      |
| Date                                       | 1/18/02                       |                                      |

| CERTIFICATE OF MAILING  |                  |                |
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| Typed or printed name   | Monica Steinborn |                |
| Signature   | Monica Steinborn | Date: 01/18/02 |